

# 1 **Impersonal constructions – a challenge for modern syntactic theory**

2

## 3 **Abstract**

4

5 Based on the Germanic languages, this article explains what is meant by ‘impersonal  
6 constructions’ – a special type of subjectless constructions. The introduction is followed by a  
7 section providing background knowledge about the development of the notion ‘subject  
8 position’ in Generative Grammar. The main part of this article focuses on how subjectless  
9 constructions and the subject requirement can be brought together. To this end, several  
10 syntactic analyses of impersonal constructions and related constructions are presented and  
11 some of the pros and cons of these analyses are discussed. Special emphasis is put on  
12 different assumptions as to the presence of expletive elements in impersonal constructions.

13

14

### 15 **1. Introduction – What are impersonal constructions?**

16

17 When asked out of the blue almost everyone who has at least some knowledge about  
18 grammar will say that a sentence minimally consists of a subject and a verb. But on closer  
19 inspection one will notice that it isn’t as easy as that.

20 Some languages can simply do without an overtly realised subject, as, for example, Italian  
21 as illustrated in (1).

22

23 (1) Canto. Italian

24 sing-1sg<sup>1</sup>

25 I sing.

26

27 Other languages can feature an Experiencer argument that does not look like a normal subject  
 28 but behaves like one as will be shown later, as in Icelandic or Old English in (2), and yet  
 29 others can have such an Experiencer argument that seems to occupy the subject position but  
 30 nevertheless neither looks nor behaves like a subject, as e.g. German (3).

31

32 (2) a. Þeim var hjálpað. Icelandic

33 them-Dat was helped (Zaenen, Maling & Thráinsson 1985: (11a))

34 They were helped.

35

36 b. Henni hefur alltaf þótt Ólafur leiðinlegur. (ibid: (13))

37 her-Dat has always thought Olaf-Nom boring-Nom

38 She has always considered Olaf boring.

39

40 c. ac Gode ne licode na heora geleafleasta... ac asende him to fyr

41 but God-Dat not liked not their faithlessness-Nom... but sent them to fire

42 of heofonum Old English (Allen 1986: (14))

43 of heaven

44 But their faithlessness did not please God, but (he) sent them fire from heaven.

45 OR: But God didn't like their faithlessness, but sent them fire from heaven.

46

47 (3) a. Ihnen wurde geholfen. German

48 them-Dat was helped

49 They were helped.

50



- 75 (5) a. Es wurde getanzt. German  
 76 it was danced  
 77 There was dancing.  
 78
- 79 b. ... dass getanzt wurde.  
 80 ... that danced was  
 81 ... that there was dancing.  
 82
- 83 c. Gestern wurde getanzt.  
 84 yesterday was danced  
 85 Yesterday, there was dancing.  
 86

87 While (1) is an example of a default sentence in a null-subject language and is usually  
 88 analysed as featuring a non-overt pronoun *pro* in subject position and thus does not concern  
 89 us here, all the other above-mentioned constructions can be summarised under the term  
 90 ‘impersonal constructions’ and will be the topic of this article.

91 Usually, we classify as impersonal constructions constructions that do not feature a  
 92 referential subject, but instead have a ‘prominent’ Experiencer argument or an expletive  
 93 element whose distribution varies depending on the language. Among the impersonal  
 94 constructions, we find, e.g. impersonal psych verb constructions, such as (2b,c) and (3b,c),  
 95 and impersonal passives, as in (4) and (5), but also weather verb constructions (6) and  
 96 constructions with an impersonal pronoun as in (7).

97

98 (6) a. Es regnet. German

99 it rains

100 It's raining.

101

102 b. ... weil es regnet.

103 ... because it rains

104 ... because it's raining.

105

106 c. Gestern hat es geregnet.

107 yesterday has it rained

108 Yesterday, it rained.

109

110 (7) a. Hier, on m' a volé mon vélo. French

111 yesterday one me has stolen my bike (Cabredo Hofherr 2008)

112 Yesterday someone stole my bike.

113

114 b. Gestern hat man mir mein Rad gestohlen. German

115 yesterday has one me-Dat my bike stolen

116 Yesterday someone stole my bike.

117

118 The non-referential element of weather verb constructions has usually been analysed as a

119 quasi-argument and can differ in its distribution from the distribution of the expletive element

120 in, say, impersonal passives of the respective language – a fact that has to be accounted for.

121 Constructions with an impersonal pronoun, however, won't be discussed here because the

122 impersonal pronoun, though in itself impersonal, serves as the external argument of the

123 construction, which means that these constructions do not pose a problem for syntactic  
124 theory.

125

126 Although not actually subjectless, so-called Transitive Expletive Constructions (TECs) as in  
127 (8), i.e. constructions that contain both a subject and an expletive element, should be  
128 discussed together with impersonal constructions because there seems to be a correlation  
129 between the availability of TECs and the type of expletive element we find in impersonal  
130 constructions.

131

132 (8) Es kommt der König über die Hügel geritten.<sup>2</sup> German

133 it comes the king over the hills ridden

134 The king comes riding over the hills.

135

136 Since the man in the street is not mistaken and Noam Chomsky (1981:131) himself  
137 formulated the requirement that “clausal structures must have subjects” it remains to be seen  
138 how such obviously subjectless structures like impersonal constructions can be accounted for  
139 in modern syntactic theory and be accommodated in a generative syntactic framework.

140

141 In the following, I will therefore go over the basic assumptions of the framework, present  
142 several tests that have been proposed for determining subjecthood and discuss various  
143 analyses of impersonal constructions – with special emphasis on how they answer the  
144 question of subjecthood.

145

146

147 **2. Subjects, subjecthood, clause structure, and subject positions in the generative**  
 148 **framework in the course of time**

149

150 As we want to see how subjectless constructions can be analysed syntactically we first of all  
 151 have to determine what a ‘subject’ actually is. Such a definition, however, is not as easy as  
 152 one might think because subjecthood has been associated with a number of often rather  
 153 disparate features. A nice overview can be found in McCloskey (1997:197-198) and reads as  
 154 follows:

155 (i) The subject is the characteristic bearer of certain kinds of semantics roles (prototypically AGENT and  
 156 perhaps also CAUSE and, more controversially EXPERIENCER [This is one of the crucial points  
 157 with respect to impersonal psych verb constructions, **X.X.**]).

158 (ii) The subject is more prominent than any other argument of the main verb. Its prominence is  
 159 manifested in a variety of phenomena:

160 a. the subject may bind reflexive and reciprocal pronouns appearing in other argument positions but  
 161 may not itself (if it is a reflexive or a reciprocal) be bound by elements in other argument  
 162 positions.

163 b. the subject, at least in the typical case, takes wider scope than an element in any other argument  
 164 position.

165 c. a subject, if it has the right semantic properties, licenses a Negative Polarity Item in some other  
 166 argument-position. A Negative Polarity Item in subject-position cannot, however, be licensed by  
 167 an appropriate element in another argument-position.

168 (iii) Subjects are typically formally marked – positionally and/or morphologically. Morphological  
 169 marking may be on the subject itself (in the form of a case) or on the main inflectional element of the  
 170 clause (in the form of agreement morphology).

171 (iv) It has sometimes been claimed that every clause must have a subject. This is not obviously correct,  
 172 but it is clearly correct in some broad sense for some languages. [...] [Let’s see whether we can make  
 173 this work for impersonal constructions and if yes, how, **X.X.**]

174 (v) Subjects are almost always nominal. [...]

175 (vi) Subjecthood is the central system of promotion and advancement of nominals (to use the terminology  
176 of Relational Grammar). That is, there are many grammatical operations which create surface  
177 subjects by promoting nominals from other positions or ranks (passive, subject-to-subject raising,  
178 unaccusative advancement, *Tough* Movement and so on). These operations exhibit an impressive  
179 constancy across languages – in the way that they function and in the constraints that they are subject  
180 to.

181

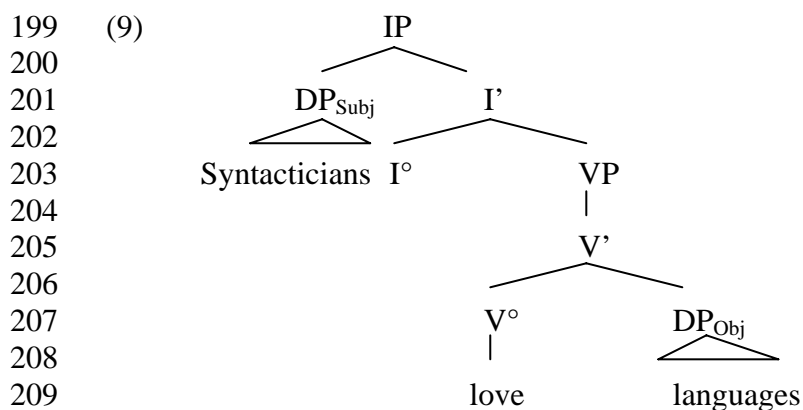
182 If we now want to model clause structure most of these characteristics attributed to subjects  
183 should follow from our clause structure, or more precisely, from our assumptions about  
184 subject positions. Before we try and see how subjectless impersonal constructions have been  
185 accounted for in Generative Grammar, let's first briefly summarise the development of the  
186 notion 'subject position' in the generative framework in general. Many of the accompanying  
187 assumptions, such as conditions on feature checking etc., are highly theory-dependent,  
188 theory-specific and in many cases already obsolete and will therefore be reduced to an  
189 absolute minimum in this overview.

190

191 In the early days of Generative Grammar, Chomsky (1981:131) states that "clausal structures  
192 must have subjects" and this requirement became known as the Extended Projection Principle  
193 or EPP, for short (Chomsky 1982:10). Moreover, as a legacy from Phrase Structure Grammar  
194 it was clear that there is exactly one subject position and that this position always has to be  
195 the sentence-initial one. If we model these requirements in a tree structure the subject always  
196 has to be in SpecIP, the so-called 'canonical subject position'. Furthermore, the EPP requires  
197 that SpecIP is always filled.<sup>4</sup> A sample tree structure is given in (9).

198





210

211 Since there was this one and only subject position all the features given in (ii) and  
 212 contributing to subjecthood could be explained by the fact that the subject occupied this  
 213 prominent position c-commanding all other positions of the clause. Furthermore, Case- and  
 214 agreement marking could be explained by the specifier-head relation holding between the  
 215 subject in SpecIP and the inflectional head I°.

216 In the course of time, however, syntactic theory became more complex and it began what  
 217 McCloskey (1997:203) calls ‘the deconstruction’ of the subject position. First of all, it has  
 218 been claimed that subjects are base-generated in SpecVP and only later move to SpecIP to  
 219 satisfy the EPP (e.g. Kuroda 1988, Koopman & Sportiche 1988, Sportiche 1988). Some  
 220 constructions, however, have been analysed as having ‘low’ subjects, i.e. subjects that stay in  
 221 SpecVP and do not move to SpecIP. Diesing (1992), for example attributes an existential  
 222 reading of the subject to the subject being in SpecVP and a generic reading to the subject  
 223 having moved to SpecIP. Constructions with ‘low’ subjects raise questions as to how the EPP  
 224 is satisfied in these cases.

225 Starting with Pollock (1989) and Belletti (1990), it has been argued that the IP hosting  
 226 tense- and agreement-features should be split into several individual phrases so that each type  
 227 of feature projects its own phrasal category. So the prototypical tree with a Split-IP looks as  
 228 follows (10).<sup>5</sup>

229

230 (10)

231

232

233

234

235

236

237

238

239

240

241

242

243

244

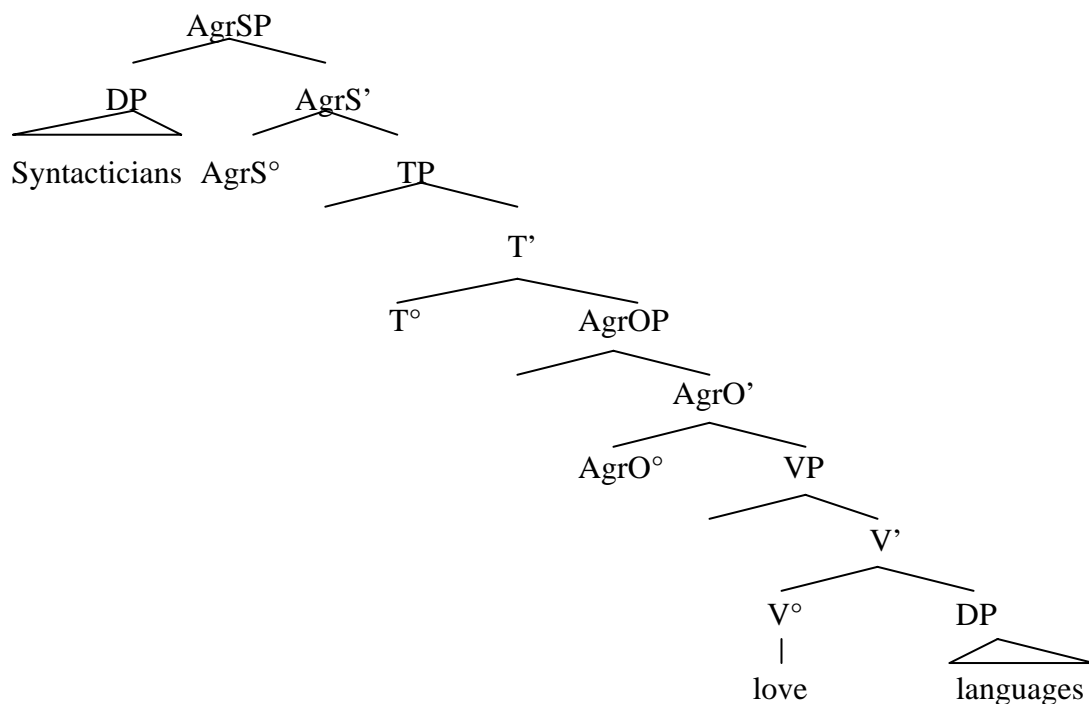
245

246

247

248

249



250

251

252

253

254

255

256

257

In view of such an extended clause structure there arise many questions relating to the EPP. Is there still a ‘canonical’ subject position? If yes, which position is it – SpecAgrSP or SpecTP? If a subject DP moves to SpecAgrSP does it have to pass through SpecTP? These questions have never satisfactorily been answered. Instead, Chomsky (1995:342) claims that if AgrS is associated with a strong D-feature, to which he reduces the EPP, then AgrO must have one as well. By associating the EPP also with a non-subject position, namely SpecAgrOP, Chomsky reduces the EPP to a generalised movement feature and dissociates it from the idea that clauses must have a canonical subject position.

258

259

260

261

262

263

Kiss (1996) takes up Diesing’s (1992) idea that there are two subject positions, one hosting specific subjects and one hosting non-specific subjects. Unlike Diesing, Kiss claims that both of these subject positions are VP-external and calls the higher subject position (the one for subjects with a specific reading) SpecRefP, where RefP expresses referentiality, and the lower one she simply associates with SpecIP. As all subjects have to leave the VP and move to at least SpecIP, this position can still be called the canonical subject position and be

264 associated with the EPP, while SpecRefP is only targeted when interpretationally necessary.  
 265 Cardinaletti (2004), finally, adds in her cartographic approach to subject positions another  
 266 phrase and subject position to the Split-IP, namely SubjP whose specifier is occupied by the  
 267 ‘subject of predication’. This property allows for the position to be targeted by phrases such  
 268 as Dative Experiencers (DPs or PPs) – as in impersonal constructions – or locatives that are  
 269 not Nominative DPs but that display some characteristics typically associated with  
 270 subjecthood.

271 Last but not least, we have to take into consideration that most of the languages we  
 272 discuss here (the Scandinavian languages, Dutch and German) are so-called Verb-Second  
 273 (V2)-languages that have usually been analysed as involving at least one further level of  
 274 structure, namely CP. V2-languages are characterised by the fact that in main clauses the  
 275 finite verb always comes in second position, preceded by exactly one constituent, so that the  
 276 word order can schematically be represented as follows:  $XP-V_{fin}-ZP$ ..... If the constituent in  
 277 sentence-initial position is not the subject, the subject follows the finite verb – but not  
 278 necessarily immediately, as illustrated in (11).

279

280 (11) Gestern hat das Buch noch keiner vermisst. German

281 yesterday has the book yet no one missed

282 Yesterday, no one had yet missed the book.

283

284 However, the default case in V2-languages, too, is that the subject shows up sentence-  
 285 initially.

286

287 (12) Syntaktiker lieben Sprachen. German

288 Syntacticians love languages.

289

290 If V2-languages activate the CP-level and the sentence-initial XP always occupies SpecCP,  
 291 then SpecCP also has to qualify as a subject position. And if we adopt the Split-CP in (13) as  
 292 proposed by Rizzi (1997), this Split-CP even offers several potential subject positions, such  
 293 as SpecTopP, SpecFocP and SpecFinP.

294

295 (13)

296

297

298

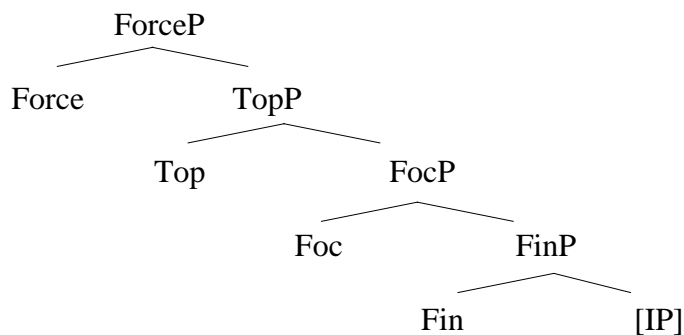
299

300

301

302

303



304

305 To sum up, we now have a clause structure that consists of three domains, CP, IP and VP,  
 306 where VP is the lexical domain in which argument structure is determined, IP the inflectional  
 307 domain and CP the domain where traditionally clause type is determined and which is  
 308 activated in V2-languages. As the domains can be further split up we arrive at a fairly  
 309 elaborate clause structure, as can be seen in (14), and most of these phrasal categories can  
 310 provide a subject position (marked with a ✓ underneath).

311

312 (14) TopP FocP FinP SubjP RefP/AgrSP<sup>6</sup> TP/IP vP VP

313 ✓ ✓ ✓ ✓ ✓ / ✓ ✓/✓ ✓

314

315 It is important to note that only SpecvP and SpecTP/SpecIP seem to be obligatory subject  
 316 positions – SpecvP as the merging site of external arguments and SpecTP/SpecIP as the  
 317 canonical subject position associated with the EPP. All the other specifier position **can** serve

318 as subject positions but are only realised as such if required by the semantics of the sentence  
 319 (e.g. focused or generic reading of the subject).

320 Furthermore, Alexiadou & Anagnostopoulou (1998) suggest that the way the EPP is  
 321 satisfied is parametrised. They claim that in some languages/language families (e.g. Greek  
 322 and Celtic languages) the EPP can be checked by a head, namely the verbal agreement  
 323 morphology which in these languages resembles clitic-like pronominal elements, so that no  
 324 specifier has to be created.

325

326 The central question now is which subject positions are realised in impersonal constructions  
 327 and how, and especially how the EPP is satisfied..

328

329

330 **3. Not everything that looks like an impersonal construction really is an impersonal**  
 331 **construction**

332

333 The simplest case is the case in which impersonal constructions turn out not to be impersonal  
 334 at all but to feature just a non-prototypical type of subject. This is the case in Icelandic and  
 335 probably also in Old English.

336 The passive and psych-verb constructions in (15) and (16), do not have a referential  
 337 agentive Nominative subject DP but a prominent, non-Nominative (often Dative) Experiencer  
 338 argument and, if transitive, a Nominative Theme argument. Zaenen, Maling & Thráinsson  
 339 (1985) wonder whether such constructions as in (15a,b), really constitute impersonal  
 340 constructions with a fronted/topicalised Experiencer DP or whether they are personal  
 341 constructions after all – personal constructions with a real, though non-Nominative subject.

342

343 (15) a. Þeim var hjálpað. Icelandic

344 them-Dat was helped

345 They were helped.

346

347 b. Henni hefur alltaf þótt Ólafur leiðinlegur.

348 her-Dat has always thought Olaf-Nom boring-Nom

349 She has always considered Olaf boring.

350

351 Zaenen, Maling & Thráinsson (1985:448-455) provide seven tests to determine subjecthood,

352 among them

353 (i) Raising – only subjects can raise.

354 (ii) Reflexivisation – only grammatical subjects can be the antecedents of reflexive  
355 pronouns (cf. McCloskey (iia)).

356 (iii) Topicalisation and subject-verb inversion. If a subject cannot appear in sentence-  
357 initial position because some other constituent has been topicalised, the subject has  
358 to immediately follow the finite verb, i.e. no object must intervene between the  
359 finite verb and the postverbal subject. [Note that this test works for V2-languages  
360 only.]

361 [...]

362 (v) Indefinite Subject Postposing. TECs are possible with indefinite subjects but the  
363 indefinite subject has to immediately follow the finite verb, i.e. no object must  
364 intervene between the finite verb and the subject.

365 (vi) Subject Ellipsis – the subject of a coordinated clause can be deleted under identity  
366 with the subject of the preceding conjunct clause.

367 They show that the Experiencer argument in the above constructions behaves exactly like a  
 368 ‘normal’ Nominative subject in all the tests and thus they conclude that in Icelandic (i) these  
 369 Experiencer arguments are real, grammatical subjects and (ii) these constructions are no  
 370 impersonal constructions.

371 Thus, if we translate Zaenen, Maling & Thráinsson’s LFG-analysis of these constructions  
 372 into the framework outlined in section 2, we will have to say that the Experiencer DP is  
 373 merged in SpecvP (cf. Hrafnbjargarson 2004). One question, however, remains, namely  
 374 whether the Experiencer DP also passes through SpecTP – after all, SpecTP is usually  
 375 associated with Nominative Case assignment – on its way up to SpecFinP (Icelandic being a  
 376 V2-language) or whether the EPP is checked by the verbal agreement morphology in  
 377 Icelandic as suggested for other languages by Alexiadou & Anagnostopoulou (1998), cf. also  
 378 Mohr (2005).

379

380 Concerning Old English, Allen (1986) showed that subject ellipsis in the second conjunct of  
 381 coordinated construction is possible even if we only have a Dative Experiencer in the first  
 382 conjunct, as is illustrated in (16).

383

384 (16) ac Gode ne licode na heora geleafleat... ac asende him to fyr of  
 385 but God-Dat not liked not their faithlessness-Nom... but sent them to fire of  
 386 heofonum Old English  
 387 heaven

388 But their faithlessness did not please God, but (he) sent them fire from heaven. OR:

389 But God didn’t like their faithlessness, but sent them fire from heaven.

390

391 As usually coordinated subjects can only be deleted under identity (see also Zaenen, Maling  
 392 & Thráinsson's test number (vi)), data like (16) come unexpectedly and Allen concludes that  
 393 in Old English, too, these Dative Experiencers were real, grammatical subjects despite their  
 394 Case.

395

396

#### 397 **4. Impersonal constructions**

398

399 However, if we turn to the German constructions in (17), which superficially look exactly  
 400 like the Icelandic constructions discussed above, and try to apply Zaenen, Maling &  
 401 Thráinsson's tests for subjecthood we will notice that none of the tests works for Experiencer  
 402 arguments in German (leaving aside the fact that some of the test cannot be applied to  
 403 German at all simply because German does not allow for the testing frame in the first place).  
 404 Therefore, we have to conclude that in German the Experiencer argument isn't a subject and  
 405 that we have to do with truly impersonal constructions.

406

407 (17) a. Ihnen wurde geholfen. German

408 them-Dat was helped

409 They were helped.

410

411 b. Mir ist kalt.

412 me-Dat is cold

413 I feel cold.

414



415 c. Mich friert.  
 416 me-Acc freezes  
 417 I feel cold.

418

419 Nevertheless, these constructions have usually not been analysed as being completely  
 420 subjectless – see, e.g. among many others, Cardinaletti (1990) and Vikner (1995). Instead, it  
 421 has been assumed that they contain a non-overt expletive *pro* which serves as a subject and  
 422 occupies SpecIP.

423 Interestingly, however, impersonal psych-verbs in German allow for an alternative  
 424 construction with a cliticised *es* ‘it’, as illustrated in (18).

425

426 (18) a. Mir ist’s kalt. German  
 427 me-Dat is ’t cold  
 428 I feel cold.

429

430 b. Mich friert ’s.  
 431 me-Acc freezes’t  
 432 I feel cold.

433

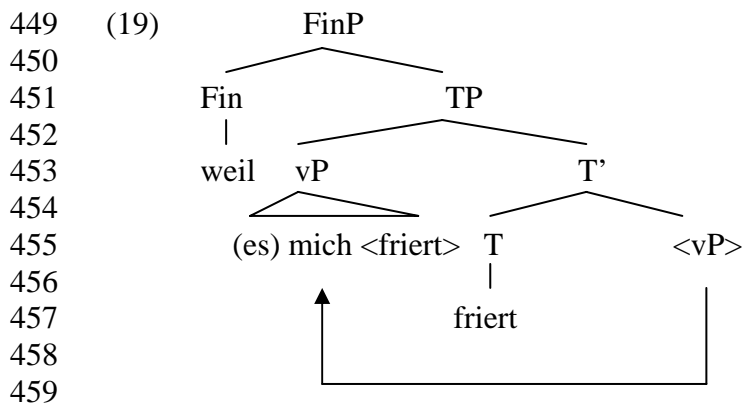
434 c. ... weil ’s mich friert.  
 435 ... because’t me-Acc freezes  
 436 ... because I feel cold.

437

438 As this *es* can also show up in non-sentence-initial position (18a,b) and in embedded clauses  
 439 (18c), Mohr (2005) argues that the construction with *es* represents a different

440 subcategorisation frame of the respective psych verb and that the *es* here is a quasi-argument  
 441 similar to the quasi-argument found with weather verbs. This means that in the absence of a  
 442 real subject, *es* is merged in SpecvP. Mohr (2005) further argues that in both construction  
 443 types it is the vP that moves to SpecTP and thus makes sure that the EPP is satisfied. Since  
 444 German allows for the vP to move to SpecTP and satisfy the EPP it does not matter that  
 445 impersonal psych verb constructions do not feature a subject and furthermore, it is not  
 446 necessary to postulate the presence of a non-overt expletive *pro* in cases where *es* is not  
 447 present.

448



460

461 With respect to impersonal passives in German, similar derivations have been proposed. As is  
 462 illustrated in (20), *es* shows up only in sentence-initial position of declarative clauses and is  
 463 ungrammatical in all other clause-types.

464

- 465 (20) a. Es wurde getanzt. German
- 466 it was danced
- 467 There was dancing.

468

469           b.     ... dass (\*es) getanzt wurde.

470                     ... that (\*it) danced was

471                     ... that there was dancing.

472

473           c.     Gestern   wurde (\*es) getanzt.

474                     yesterday was    (\*it) danced

475                     Yesterday, there was dancing.

476

477           Since the days of Government & Binding it has been assumed that German impersonal  
 478 passives require the presence of an expletive pronoun to take care of the EPP and that this  
 479 expletive pronoun can come in two forms, overtly as expletive *es* and non-overtly as  
 480 expletive *pro* (see among many others, Cardinaletti 1990, Vikner 1995). Thus it has been  
 481 argued that clauses like (20b,c) actually feature an expletive *pro* in the position where *es* is  
 482 ungrammatical.

483           Such an approach, however, is highly implausible because there does exist a reading  
 484 where clauses like (20b) are grammatical, namely when *es* is a true referential pronoun, as in  
 485 (21).

486

487 (21)           ... dass es gegessen wurde.

German

488                     ... that it eaten     was

489                     ... that it was eaten. [meaning e.g. that the bread was eaten.]

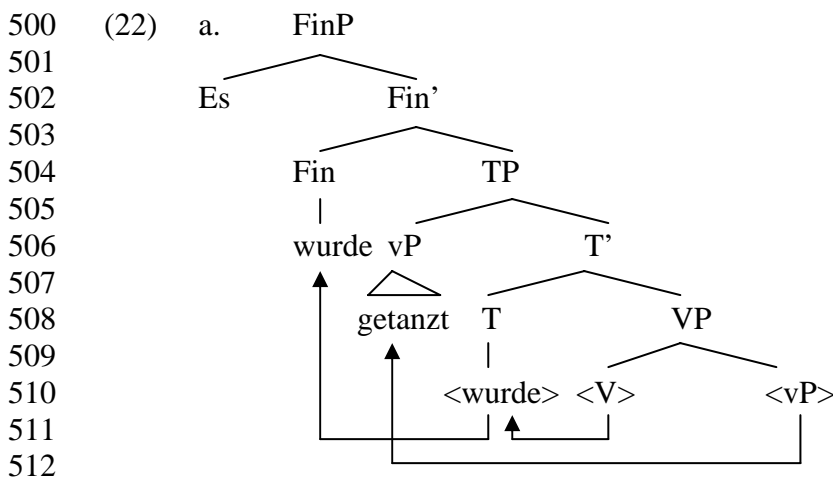
490

491           Thus, if we want to postulate the presence of a null element in SpecIP/SpecTP of  
 492 impersonal passives, we can only assume that there is a null cognate object (as proposed by

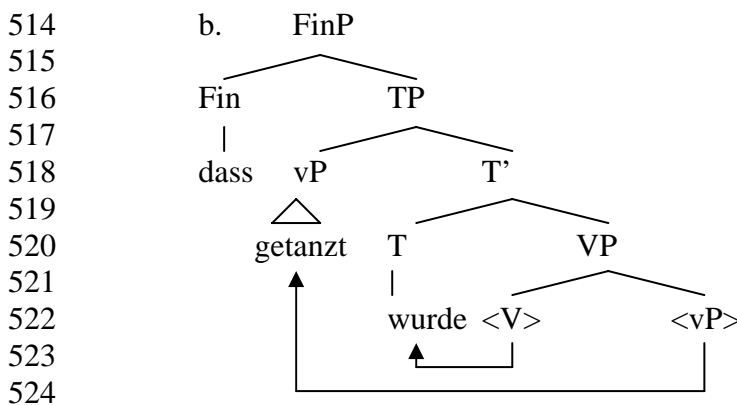
493 Cabredo Hofherr 2000<sup>7</sup>) which is ‘promoted to subject’ and consequently moves to  
 494 SpecIP/SpecTP and checks the EPP.

495 Mohr (2005), on the other hand, suggests that an expletive really only ever shows up  
 496 when it is overtly present, as in (20a). Mohr argues that the EPP is always checked by the vP  
 497 moving to SpecTP in impersonal passives in German and that therefore an expletive is only  
 498 needed if no other element can fulfil the V2-requirement in the C-domain.

499



513



525

526 When we turn to impersonal passives in MSc we will see that the distribution of the expletive  
 527 element is completely different from that in German impersonal passives – more precisely,  
 528 the expletive element shows up in all clause types (23).

529

530 (23) a. Det ble danset. Norwegian

531 it was danced

532 There was dancing.

533

534 b ... at det ble danset.

535 ... that it was danced

536 ... that there was dancing.

537

538 c. I går ble det danset.

539 yesterday was it danced

540 Yesterday, there was dancing.

541

542 Whereas Roberts & Roussou (2002) and Roberts (2005), for example, try to explain the  
 543 differences in the distribution of the expletive elements in the various languages by means of  
 544 a parameter which requires different specifier positions to be phonologically realised<sup>8</sup>, Mohr  
 545 (2005) puts the differences down to different types of ‘expletives’ involved. In other words,  
 546 Mohr proposes that *es* in German impersonal passives is a true expletive which is inserted as  
 547 a last resort device, while the ‘expletive’ in MSc impersonal passives is not an expletive  
 548 element at all – contrary to what has commonly been assumed – but a quasi-argument which  
 549 is base-generated in SpecvP and moves to/via SpecTP like a normal subject.

550

551 Insofar MSc impersonal passives resemble weather verb constructions in all Germanic  
 552 languages except Icelandic, as is illustrated in (24-26).

553

554	(24)	a.	Es regnet.	German
555			it rains	
556			It is raining.	
557				
558		b.	... weil es regnet.	
559			... because it rains	
560			... because it's raining.	
561				
562		c.	Gestern hat es geregnet.	
563			yesterday has it rained	
564			Yesterday, it rained.	
565				
566	(25)	a.	Det har regnet.	Norwegian
567			It has rained.	
568				
569		b.	... at det har regnet i dag	
570			... that it has rained today	
571				
572		c.	I dag har det regnet.	
573			today has it rained	
574			Today it has rained.	
575				
576	(26)	a.	Það rigndi (í gær).	Icelandic
577			it rained (yesterday)	
578				

579           b.    Hann sagði, að það hafi            right í gær.  
580                   he   said   that it   has-subjunc rained yesterday

581                   He said that it rained yesterday.

582

583           c.    Í gær        rigndi (\*það).  
584                   yesterday rained (\*it)

585                   Yesterday, it rained.

586

587           d.    Rigndi (\*það) í gær?  
588                   rained (\*it)   yesterday

589                   Did it rain yesterday?

590

591 For weather verb constructions it has generally been assumed that they feature a quasi-  
592 argument which is base-generated in SpecvP and moves to/through SpecTP.<sup>9</sup> This  
593 explanation, however, does not cover the Icelandic data. Here, Mohr (2005) proposes that  
594 Icelandic does not have a quasi-argument with weather verb constructions but a true  
595 expletive.<sup>10</sup> The fact that the expletive also shows up in embedded clauses, as in (26b) can be  
596 put down to the fact that Icelandic has generalised V2, i.e. also embedded clauses are subject  
597 to the V2 requirement and the complementiser is not merged in Fin° but in Force°. In  
598 addition, it has to be assumed that in Icelandic the EPP is satisfied by merging the verbal  
599 agreement morphology in T° so that SpecTP does not have to be created, following Alexiadou &  
600 Anagnostopoulou (1998), Roberts & Roussou (2002) and Roberts (2005).

601

602

603 **5. Types of ‘expletive’ elements and TECs**

604

605 A number of linguists, among them Chomsky (1995), Bobaljik & Jonas (1996), Koster &  
 606 Zwart (2000) and Fischer (2010) explain the (non-)availability of TECs with the number of  
 607 specifier positions available in the Split-IP of the respective language. The availability of  
 608 both SpecAgrSP and SpecTP depends on several, often related factors – the strength of the  
 609 features associated with the respective heads, the possibility of verb movement, whether the  
 610 language in question allows for object shift, etc. These approaches, however, are often highly  
 611 technical and therefore tend to become quickly obsolete, as e.g. Bobaljik & Jonas’s analysis  
 612 which relies on the notion of equidistance.

613 The distinction between true expletive elements and quasi-arguments proposed by Mohr  
 614 (2005), on the other hand, also helps to account for the (un-)availability of TECs in the  
 615 different languages. While German has TECs, MSc does not allow for these constructions, as  
 616 is illustrated in (27) and (28).

617

618 (27) Es kommt der König über die Hügel geritten. German

619 it comes the king over the hills ridden

620 The king comes riding over the hills.

621

622 (28) \*Det har någon ätit ett äpple. Swedish

623 it has someone eaten an apple (Bobaljik & Jonas 1996:(15d))

624 Someone has eaten an apple.

625

626 As German *es* is a true expletive pronoun inserted directly in SpecFinP as a last resort  
 627 operation, the rest of the clause is unaffected by this operation which means that the verb can



628 select two arguments (as required if the verb is transitive) and all of the potential subject  
 629 positions of the Split-IP are available as landing sites for the subject – including  
 630 SpecArgSP/SpecRefP, the target of definite subjects. Therefore, German does not only allow  
 631 for TECs but also does not display a Definiteness Effect (DE) with respect to the subject DP.  
 632 For the MSc languages, on the other hand, it has been suggested that *det* is a quasi-argument  
 633 merged in SpecvP. Thus this quasi-argument and the external argument of transitive verbs  
 634 compete for one and the same position, SpecvP, and this explains why we do not get TECs in  
 635 MSc.

636

637 With respect to Icelandic, it has been suggested that *það* is a true expletive as well and so we  
 638 would expect, first, that TECs are possible in Icelandic and, second, that they do not display a  
 639 DE either. This prediction, however, is only partly borne out because in Icelandic we do get a  
 640 DE.

641

642 (29) *Það hafa margir jólasveinar borðað búaðing.* Icelandic  
 643 it have many X-mas trolls eaten pudding (Bobaljik & Jonas 1996:(16a))  
 644 Many Christmas trolls have eaten pudding.

645

646 The DE can probably be put down to an independent constraint of Icelandic which requires  
 647 definite subjects to always show up in sentence-initial position. This postulation seems  
 648 plausible as Icelandic also strongly disfavours, say, topicalisation of an object in the presence  
 649 of a definite subject.

650

651 The fact that some ‘expletive’ elements are of pronominal origin (e.g. German *es*, Swedish/  
 652 Norwegian *det*, Icelandic *það*) and some of locative origin (e.g. Danish *der*, English *there*)

653 does not seem to affect the type and the distribution of the respective element. So the  
 654 ‘expletive’ elements of MSc languages pattern alike although the Danish one is of locative  
 655 origin and the Swedish/Norwegian ones are of pronominal origin. On the other hand, the  
 656 ‘pronominal expletives’ of German/Icelandic and Swedish/Norwegian fall into two  
 657 completely different groups, namely true expletives and quasi-arguments, respectively.

658 There exists, however, yet another type of ‘expletive’ element: Location-goal arguments<sup>11</sup>  
 659 are typically historically derived from locatives, such as Dutch *er*. Mohr (2005) proposes that  
 660 such location-goal arguments carry a [+specific]-feature and therefore have to pass through  
 661 the higher subject position of the Split-IP, SpecAgrSP/SpecRefP. This analysis accounts for  
 662 why TECs are possible in Dutch but display a DE with respect to the subject (30) and why *er*  
 663 can also show up in non-sentence-initial position in impersonal passives (31).<sup>12</sup>

664

665 (30) a. \**Er* heeft zo-even de kanselier het toneel betreden. Dutch  
 666 there has just the chancellor the platform entered  
 667 The chancellor has just mounted the platform.

668

669 b. *Er* heeft zo-even een Amerikaan het toneel betreden.  
 670 there has just an American the platform entered  
 671 An American has just mounted the platform.

672

673 (31) a. *Er* wordt gedanst.  
 674 there is danced  
 675 There is dancing.

676

- 677           b.     ... dat (er)     wordt gedanst.  
 678                     ... that (there) is     danced.  
 679                     ... that there is dancing.  
 680
- 681           c.     Op het schip wordt (er)   gedanst.  
 682                     on the ship is     (there) danced  
 683                     On the ship, there is dancing.  
 684

685   When *er* is present in impersonal passives as in (31b,c) it realises SpecAgrSP/SpecRefP, a  
 686   position not realised at all in German impersonal passives. In TECs *er* blocks definite  
 687   subjects from moving to SpecAgrSP/SpecRefP – thus leading to a DE – because *er* is merged  
 688   in this position before moving to SpecFinP.

689

690

## 691   **6. Conclusion**

692

693   To sum up, one can say that all analyses of impersonal constructions involve some kind of  
 694   alternative strategy of satisfying the EPP. Some approaches suggest that the lack of a real  
 695   subject is amended by the presence of a semantically and sometimes even phonetically empty  
 696   element, so that the EPP can be checked by this element in exactly the same way as a subject  
 697   would do. Other approaches suggest alternative ways of checking the EPP, either by merge or  
 698   move of a head, or by movement of other XPs, e.g. vP, to SpecTP.

699       Last but not least, it has to be pointed out that although the discussion of impersonal  
 700   constructions has been restricted to the Germanic languages here, other languages and  
 701   language families also have such constructions and that they even extend the range of devices

702 used. In the Romance languages, e.g. impersonal constructions typically involve reflexive  
 703 *se/si*, as is illustrated in (32).

704

705 (32) a. In Italia *si* mangiano *gli* spaghetti. Italian  
 706 in Italy Refl eat-3pl the-pl spaghetti-pl (D'Alessandro 2007)  
 707 In Italy they eat spaghetti.

708

709 b. Aquí *se* trabajó. Spanish  
 710 here Refl worked (Cabredo Hofherr, forthcoming: (3b))  
 711 Here, working has been done.

712

713 A discussion of the mechanisms at work here is, however, beyond the scope of this article.

714

715

## 716 Works Cited

717

718 Alexiadou, Artemis, and Elena Anagnostopoulou. 1998. Parametrizing AGR: Word Order,  
 719 V-Movement and EPP-Checking. *NLLT* 16. 491-539.

720 Allen, Cynthia. 1986. Reconsidering the history of *like*. *Journal of Linguistics* 22. 375-409.

721 Belletti, Adriana. 1990. *Generalized Verb Movement. Aspects of Verb Syntax*. Turin:  
 722 Rosenberg & Sellier.

723 Bobaljik, Jonathan David, and Dianne Jonas. 1996. Subject Positions and the Roles of TP. *LI*  
 724 27. 195-236.

725 Cabredo Hofherr, Patricia. 2000. *La passivation des intransitifs en allemand et le statut des*  
 726 *explétifs*. Paris (VII): Ph.D. thesis.

- 727 Cabredo Hofherr, Patricia. 2008. Les pronoms impersonnels humains – syntaxe et  
 728 interprétation. *Modèles linguistiques* XXIX-1. Vol. 57. 35-56.
- 729 Cabredo Hofherr, Patricia. Forthcoming. The subjects of impersonal passives in German and  
 730 Spanish. *Passives and impersonals in European languages*, ed. K. Hiietam, E. Kaiser, S.  
 731 Manninen & V. Vihman. Amsterdam: John Benjamins.
- 732 Cardinaletti, Anna. 1990. *Impersonal Constructions and Sentential Arguments in German*.  
 733 Padua: Unipress.
- 734 Cardinaletti, Anna. 2004. Towards a Cartography of Subject Positions. *The Structure of CP*  
 735 *and IP. The Cartography of Syntactic Structures* Vol. 2, ed. by Luigi Rizzi, 115-165.  
 736 Oxford: OUP.
- 737 Chomsky, Noam. 1981. *Lectures on Government and Binding. The Pisa Lectures*. Dordrecht:  
 738 Foris. [Studies in Generative Grammar 9]
- 739 Chomsky, Noam. 1982. *Some Concepts and Consequences of the Theory of Government and*  
 740 *Binding*. Cambridge, MA.: MIT Press.
- 741 Chomsky, Noam. 1995. *The Minimalist Program*. Cambridge, MA.: MIT Press.
- 742 D'Alessandro, Roberta. 2007 *Impersonal si constructions. Agreement and Interpretation*.  
 743 Berlin: Mouton de Gruyter.
- 744 Diesing, Molly. 1992. *Indefinites*. Cambridge, MA: MIT Press.
- 745 Fischer, Susann. 2010. *Split TP, expletives, and the interpretation of preverbal subjects*.  
 746 Paper presented at the Colloquium of the Department of English Linguistics, Stuttgart,  
 747 20<sup>th</sup> July, 2010.
- 748 Hrafnbjargarson, Gunnar Hrafn. 2004. *Oblique Subjects and Stylistic Fronting in the History*  
 749 *of Scandinavian and English: The Role of IP-Spec*. Aarhus University: Ph.D. thesis.
- 750 Kiss, Katalin É. 1996. Two subject positions in English *The Linguistic Review* 13. 119-142.
- 751 Koopman, Hilda, and Dominique Sportiche. 1988. Subjects. UCLA, ms.

- 752 Koster, Jan and Jan-Wouter Zwart. 2000. Transitive expletive constructions and the object  
753 shift parameter. *Linguistics in the Netherlands* 17. 159-170.
- 754 Kuroda, Sige-Yuki. 1988. Whether we agree or not: a comparative syntax of English and  
755 Japanese. *Linguisticae Investigationes* XII (1). 1-47.
- 756 McCloskey, James. 1997. Subjecthood and Subject Positions. *Elements of Grammar*, ed. by  
757 Liliane Haegeman, 197-235. Dordrecht: Kluwer.
- 758 Mohr, Sabine. 2005. *Clausal Architecture and Subject Positions. Impersonal constructions in*  
759 *the Germanic languages*. Amsterdam: John Benjamins. [Linguistik Aktuell 88]
- 760 Pollock, Jean-Yves. 1989. Verb Movement, Universal Grammar, and the Structure of IP. *LI*  
761 20. 365-424.
- 762 Rizzi, Luigi. 1997. The Fine Structure of the Left Periphery. *Elements of Grammar*, ed. by  
763 Liliane Haegeman, 281-337. Dordrecht: Kluwer.
- 764 Roberts, Ian. 2005. *Principles and Parameters in a VSO Language. A Case Study in Welsh*.  
765 Oxford: OUP [Oxford Studies in Comparative Syntax]
- 766 Roberts, Ian, and Anna Roussou. 2002. The Extended Projection Principle as a Condition on  
767 the Tense Dependency. *Subjects, Expletives, and the EPP*, ed. by Peter Svenonius, 125-  
768 155. Oxford: OUP.
- 769 Sportiche, Dominique. 1988. A theory of floating quantifiers and its corollaries for  
770 constituent structure. *LI* 19. 425-449.
- 771 Vikner, Sten. 1995. *Verb Movement and Expletive Subjects in the Germanic Languages*.  
772 Oxford: OUP.
- 773 Zaenen, Annie, Joan Maling, and Höskuldur Thráinsson. 1985. Case and Grammatical  
774 Functions: The Icelandic Passive. *NLLT* 3. 441-483.

---

<sup>1</sup> Abbreviations used in the glosses and syntactic trees:

---

Acc = Accusative, Dat = Dative, Fin = finiteness, Foc = focus, Nom = Nominative, Obj = object, pl = plural, sg = singular, Subj = subject, subjunc = subjunctive, Top = topic

<sup>2</sup> This example is taken from Cardinaletti (1990: (40b)) who classifies it as ungrammatical (according to her, the subject DP has to be indefinite) – contrary to fact.

<sup>3</sup> X.X. has to be replaced with the initials of the author.

<sup>4</sup> Therefore people postulated the presence of a non-overt subject pronoun *pro* in SpecIP in null-subject languages.

<sup>5</sup> Equally common is the version without the object agreement phrase AgrOP.

In addition, nowadays the VP is usually dominated by a vP, and it is actually SpecvP which serves as the base position of the subject, whereas SpecVP is the merging site of indirect objects.

<sup>6</sup> It is not quite clear whether RefP/AgrSP actually represent individual categories or whether it is just one category which has been labelled differently (as TP/IP) according to the properties identified by different researchers who looked at subject positions from very different angles.

<sup>7</sup> For reasons internal to her analysis Cabredo Hofherr, however, does not assume that the null cognate object moves to SpecIP.

<sup>8</sup> More precisely, Roberts & Roussou (2002) and Roberts (2005) propose that heads are parametrised with respect to a diacritic which requires phonological realisation. Phonological realisation of a head can be achieved by Merge or by Move where realisation by Move requires subsequent realisation of the corresponding specifier.

<sup>9</sup> Note that German *es* can be both a true expletive and a quasi-argument in this approach. The expletive and the quasi-argument just happen to be homophonous.

<sup>10</sup> According to Gunnar Hrafn Hrafnbjargarson (p.c.) many people consider (6c,d) with *það* grammatical. In that case *það* must be a quasi-argument, as in the other Germanic languages.

---

Hence Icelandic has two different grammars concerning weather verbs. The question of whether the expletive is undergoing a reanalysis as a quasi-argument requires further research.

<sup>11</sup> This term was coined by Cardinaletti (2004), though used slightly differently.

<sup>12</sup> Why *er* is optional in these positions cannot be discussed here for reasons of space.