

1 **Impersonal constructions – a challenge for modern syntactic theory**

2

3 **Abstract**

4

5 Based on the Germanic languages, this article explains what is meant by ‘impersonal
6 constructions’ – a special type of subjectless constructions. The introduction is followed by a
7 section providing background knowledge about the development of the notion ‘subject
8 position’ in Generative Grammar. The main part of this article focuses on how subjectless
9 constructions and the subject requirement can be brought together. To this end, several
10 syntactic analyses of impersonal constructions and related constructions are presented and
11 some of the pros and cons of these analyses are discussed. Special emphasis is put on
12 different assumptions as to the presence of expletive elements in impersonal constructions.

13

14

15 **1. Introduction – What are impersonal constructions?**

16

17 When asked out of the blue almost everyone who has at least some knowledge about
18 grammar will say that a sentence minimally consists of a subject and a verb. But on closer
19 inspection one will notice that it isn’t as easy as that.

20 Some languages can simply do without an overtly realised subject, as, for example, Italian
21 as illustrated in (1).

22

23 (1) Canto. Italian

24 sing-1sg¹

25 I sing.

26

27 Other languages can feature an Experiencer argument that does not look like a normal subject
 28 but behaves like one as will be shown later, as in Icelandic or Old English in (2), and yet
 29 others can have such an Experiencer argument that seems to occupy the subject position but
 30 nevertheless neither looks nor behaves like a subject, as e.g. German (3).

31

32 (2) a. Þeim var hjálpað. Icelandic

33 them-Dat was helped (Zaenen, Maling & Thráinsson 1985: (11a))

34 They were helped.

35

36 b. Henni hefur alltaf þótt Ólafur leiðinlegur. (ibid: (13))

37 her-Dat has always thought Olaf-Nom boring-Nom

38 She has always considered Olaf boring.

39

40 c. ac Gode ne licode na heora geleafleasta... ac asende him to fyr

41 but God-Dat not liked not their faithlessness-Nom... but sent them to fire

42 of heofonum Old English (Allen 1986: (14))

43 of heaven

44 But their faithlessness did not please God, but (he) sent them fire from heaven.

45 OR: But God didn't like their faithlessness, but sent them fire from heaven.

46

47 (3) a. Ihnen wurde geholfen. German

48 them-Dat was helped

49 They were helped.

50

- 75 (5) a. Es wurde getanzt. German
 76 it was danced
 77 There was dancing.
 78
- 79 b. ... dass getanzt wurde.
 80 ... that danced was
 81 ... that there was dancing.
 82
- 83 c. Gestern wurde getanzt.
 84 yesterday was danced
 85 Yesterday, there was dancing.
 86

87 While (1) is an example of a default sentence in a null-subject language and is usually
 88 analysed as featuring a non-overt pronoun *pro* in subject position and thus does not concern
 89 us here, all the other above-mentioned constructions can be summarised under the term
 90 ‘impersonal constructions’ and will be the topic of this article.

91 Usually, we classify as impersonal constructions constructions that do not feature a
 92 referential subject, but instead have a ‘prominent’ Experiencer argument or an expletive
 93 element whose distribution varies depending on the language. Among the impersonal
 94 constructions, we find, e.g. impersonal psych verb constructions, such as (2b,c) and (3b,c),
 95 and impersonal passives, as in (4) and (5), but also weather verb constructions (6) and
 96 constructions with an impersonal pronoun as in (7).

97

98 (6) a. Es regnet. German

99 it rains

100 It's raining.

101

102 b. ... weil es regnet.

103 ... because it rains

104 ... because it's raining.

105

106 c. Gestern hat es geregnet.

107 yesterday has it rained

108 Yesterday, it rained.

109

110 (7) a. Hier, on m' a volé mon vélo. French

111 yesterday one me has stolen my bike (Cabredo Hofherr 2008)

112 Yesterday someone stole my bike.

113

114 b. Gestern hat man mir mein Rad gestohlen. German

115 yesterday has one me-Dat my bike stolen

116 Yesterday someone stole my bike.

117

118 The non-referential element of weather verb constructions has usually been analysed as a

119 quasi-argument and can differ in its distribution from the distribution of the expletive element

120 in, say, impersonal passives of the respective language – a fact that has to be accounted for.

121 Constructions with an impersonal pronoun, however, won't be discussed here because the

122 impersonal pronoun, though in itself impersonal, serves as the external argument of the

123 construction, which means that these constructions do not pose a problem for syntactic
124 theory.

125

126 Although not actually subjectless, so-called Transitive Expletive Constructions (TECs) as in
127 (8), i.e. constructions that contain both a subject and an expletive element, should be
128 discussed together with impersonal constructions because there seems to be a correlation
129 between the availability of TECs and the type of expletive element we find in impersonal
130 constructions.

131

132 (8) Es kommt der König über die Hügel geritten.² German

133 it comes the king over the hills ridden

134 The king comes riding over the hills.

135

136 Since the man in the street is not mistaken and Noam Chomsky (1981:131) himself
137 formulated the requirement that “clausal structures must have subjects” it remains to be seen
138 how such obviously subjectless structures like impersonal constructions can be accounted for
139 in modern syntactic theory and be accommodated in a generative syntactic framework.

140

141 In the following, I will therefore go over the basic assumptions of the framework, present
142 several tests that have been proposed for determining subjecthood and discuss various
143 analyses of impersonal constructions – with special emphasis on how they answer the
144 question of subjecthood.

145

146

147 **2. Subjects, subjecthood, clause structure, and subject positions in the generative**
 148 **framework in the course of time**

149

150 As we want to see how subjectless constructions can be analysed syntactically we first of all
 151 have to determine what a ‘subject’ actually is. Such a definition, however, is not as easy as
 152 one might think because subjecthood has been associated with a number of often rather
 153 disparate features. A nice overview can be found in McCloskey (1997:197-198) and reads as
 154 follows:

155 (i) The subject is the characteristic bearer of certain kinds of semantics roles (prototypically AGENT and
 156 perhaps also CAUSE and, more controversially EXPERIENCER [This is one of the crucial points
 157 with respect to impersonal psych verb constructions, **X.X.**]).

158 (ii) The subject is more prominent than any other argument of the main verb. Its prominence is
 159 manifested in a variety of phenomena:

160 a. the subject may bind reflexive and reciprocal pronouns appearing in other argument positions but
 161 may not itself (if it is a reflexive or a reciprocal) be bound by elements in other argument
 162 positions.

163 b. the subject, at least in the typical case, takes wider scope than an element in any other argument
 164 position.

165 c. a subject, if it has the right semantic properties, licenses a Negative Polarity Item in some other
 166 argument-position. A Negative Polarity Item in subject-position cannot, however, be licensed by
 167 an appropriate element in another argument-position.

168 (iii) Subjects are typically formally marked – positionally and/or morphologically. Morphological
 169 marking may be on the subject itself (in the form of a case) or on the main inflectional element of the
 170 clause (in the form of agreement morphology).

171 (iv) It has sometimes been claimed that every clause must have a subject. This is not obviously correct,
 172 but it is clearly correct in some broad sense for some languages. [...] [Let’s see whether we can make
 173 this work for impersonal constructions and if yes, how, **X.X.**]

174 (v) Subjects are almost always nominal. [...]

175 (vi) Subjecthood is the central system of promotion and advancement of nominals (to use the terminology
176 of Relational Grammar). That is, there are many grammatical operations which create surface
177 subjects by promoting nominals from other positions or ranks (passive, subject-to-subject raising,
178 unaccusative advancement, *Tough* Movement and so on). These operations exhibit an impressive
179 constancy across languages – in the way that they function and in the constraints that they are subject
180 to.

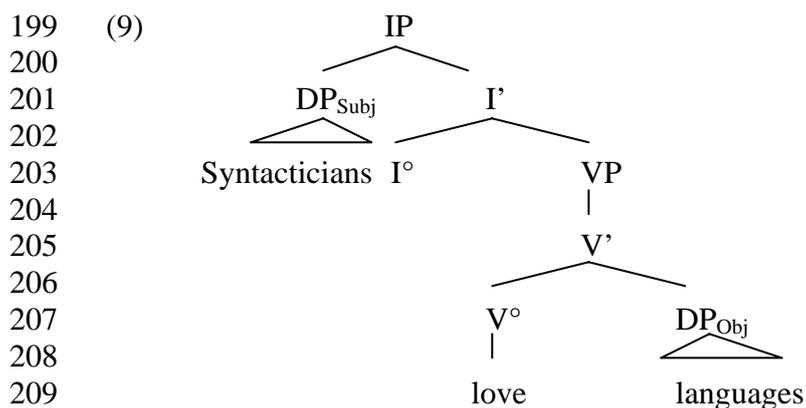
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182 If we now want to model clause structure most of these characteristics attributed to subjects
183 should follow from our clause structure, or more precisely, from our assumptions about
184 subject positions. Before we try and see how subjectless impersonal constructions have been
185 accounted for in Generative Grammar, let's first briefly summarise the development of the
186 notion 'subject position' in the generative framework in general. Many of the accompanying
187 assumptions, such as conditions on feature checking etc., are highly theory-dependent,
188 theory-specific and in many cases already obsolete and will therefore be reduced to an
189 absolute minimum in this overview.

190

191 In the early days of Generative Grammar, Chomsky (1981:131) states that "clausal structures
192 must have subjects" and this requirement became known as the Extended Projection Principle
193 or EPP, for short (Chomsky 1982:10). Moreover, as a legacy from Phrase Structure Grammar
194 it was clear that there is exactly one subject position and that this position always has to be
195 the sentence-initial one. If we model these requirements in a tree structure the subject always
196 has to be in SpecIP, the so-called 'canonical subject position'. Furthermore, the EPP requires
197 that SpecIP is always filled.⁴ A sample tree structure is given in (9).

198



210

211 Since there was this one and only subject position all the features given in (ii) and
 212 contributing to subjecthood could be explained by the fact that the subject occupied this
 213 prominent position c-commanding all other positions of the clause. Furthermore, Case- and
 214 agreement marking could be explained by the specifier-head relation holding between the
 215 subject in SpecIP and the inflectional head I°.

216 In the course of time, however, syntactic theory became more complex and it began what
 217 McCloskey (1997:203) calls ‘the deconstruction’ of the subject position. First of all, it has
 218 been claimed that subjects are base-generated in SpecVP and only later move to SpecIP to
 219 satisfy the EPP (e.g. Kuroda 1988, Koopman & Sportiche 1988, Sportiche 1988). Some
 220 constructions, however, have been analysed as having ‘low’ subjects, i.e. subjects that stay in
 221 SpecVP and do not move to SpecIP. Diesing (1992), for example attributes an existential
 222 reading of the subject to the subject being in SpecVP and a generic reading to the subject
 223 having moved to SpecIP. Constructions with ‘low’ subjects raise questions as to how the EPP
 224 is satisfied in these cases.

225 Starting with Pollock (1989) and Belletti (1990), it has been argued that the IP hosting
 226 tense- and agreement-features should be split into several individual phrases so that each type
 227 of feature projects its own phrasal category. So the prototypical tree with a Split-IP looks as
 228 follows (10).⁵

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230 (10)

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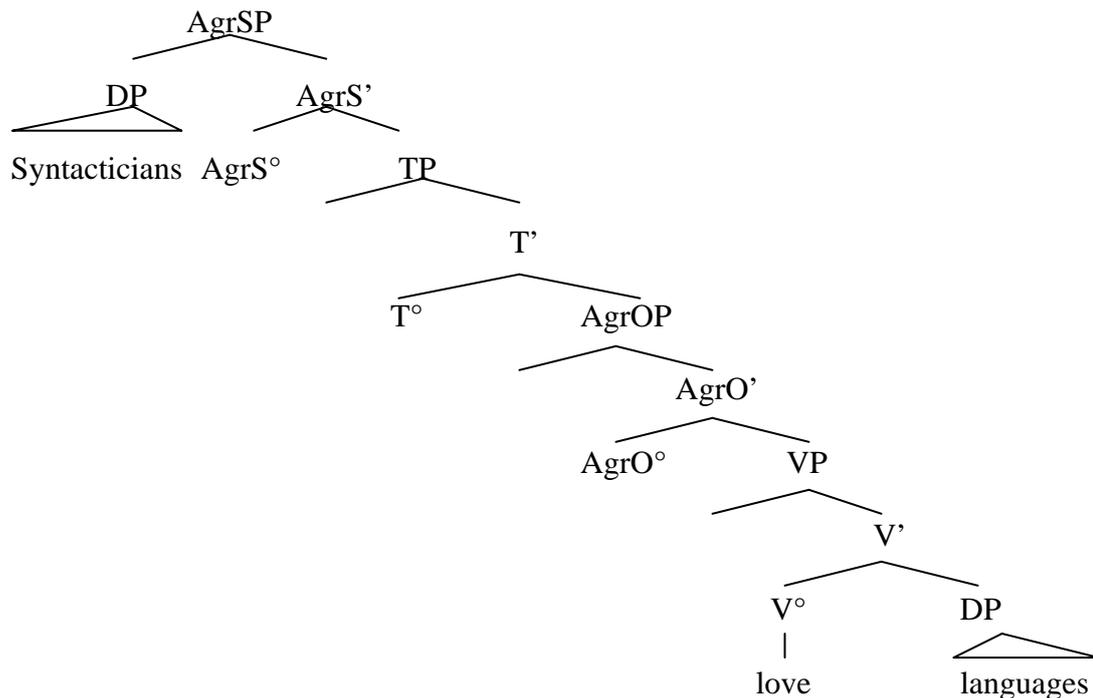
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In view of such an extended clause structure there arise many questions relating to the EPP. Is there still a ‘canonical’ subject position? If yes, which position is it – SpecAgrSP or SpecTP? If a subject DP moves to SpecAgrSP does it have to pass through SpecTP? These questions have never satisfactorily been answered. Instead, Chomsky (1995:342) claims that if AgrS is associated with a strong D-feature, to which he reduces the EPP, then AgrO must have one as well. By associating the EPP also with a non-subject position, namely SpecAgrOP, Chomsky reduces the EPP to a generalised movement feature and dissociates it from the idea that clauses must have a canonical subject position.

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Kiss (1996) takes up Diesing’s (1992) idea that there are two subject positions, one hosting specific subjects and one hosting non-specific subjects. Unlike Diesing, Kiss claims that both of these subject positions are VP-external and calls the higher subject position (the one for subjects with a specific reading) SpecRefP, where RefP expresses referentiality, and the lower one she simply associates with SpecIP. As all subjects have to leave the VP and move to at least SpecIP, this position can still be called the canonical subject position and be

264 associated with the EPP, while SpecRefP is only targeted when interpretationally necessary.
 265 Cardinaletti (2004), finally, adds in her cartographic approach to subject positions another
 266 phrase and subject position to the Split-IP, namely SubjP whose specifier is occupied by the
 267 ‘subject of predication’. This property allows for the position to be targeted by phrases such
 268 as Dative Experiencers (DPs or PPs) – as in impersonal constructions – or locatives that are
 269 not Nominative DPs but that display some characteristics typically associated with
 270 subjecthood.

271 Last but not least, we have to take into consideration that most of the languages we
 272 discuss here (the Scandinavian languages, Dutch and German) are so-called Verb-Second
 273 (V2)-languages that have usually been analysed as involving at least one further level of
 274 structure, namely CP. V2-languages are characterised by the fact that in main clauses the
 275 finite verb always comes in second position, preceded by exactly one constituent, so that the
 276 word order can schematically be represented as follows: $XP-V_{fin}-ZP$ If the constituent in
 277 sentence-initial position is not the subject, the subject follows the finite verb – but not
 278 necessarily immediately, as illustrated in (11).

279

280 (11) Gestern hat das Buch noch keiner vermisst. German

281 yesterday has the book yet no one missed

282 Yesterday, no one had yet missed the book.

283

284 However, the default case in V2-languages, too, is that the subject shows up sentence-
 285 initially.

286

287 (12) Syntaktiker lieben Sprachen. German

288 Syntacticians love languages.

289

290 If V2-languages activate the CP-level and the sentence-initial XP always occupies SpecCP,
 291 then SpecCP also has to qualify as a subject position. And if we adopt the Split-CP in (13) as
 292 proposed by Rizzi (1997), this Split-CP even offers several potential subject positions, such
 293 as SpecTopP, SpecFocP and SpecFinP.

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295 (13)

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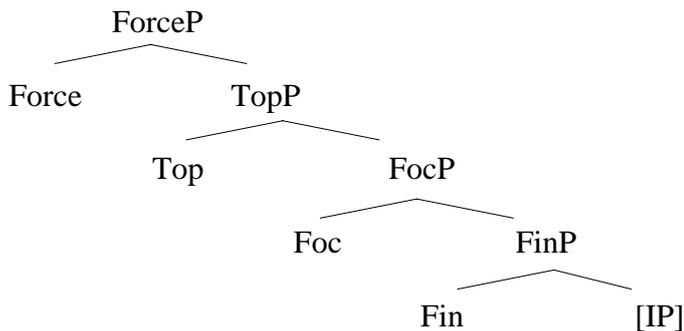
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305 To sum up, we now have a clause structure that consists of three domains, CP, IP and VP,
 306 where VP is the lexical domain in which argument structure is determined, IP the inflectional
 307 domain and CP the domain where traditionally clause type is determined and which is
 308 activated in V2-languages. As the domains can be further split up we arrive at a fairly
 309 elaborate clause structure, as can be seen in (14), and most of these phrasal categories can
 310 provide a subject position (marked with a ✓ underneath).

311

312 (14) TopP FocP FinP SubjP RefP/AgrSP⁶ TP/IP vP VP

313 ✓ ✓ ✓ ✓ ✓ / ✓ ✓/✓ ✓

314

315 It is important to note that only SpecvP and SpecTP/SpecIP seem to be obligatory subject
 316 positions – SpecvP as the merging site of external arguments and SpecTP/SpecIP as the
 317 canonical subject position associated with the EPP. All the other specifier position **can** serve

318 as subject positions but are only realised as such if required by the semantics of the sentence
 319 (e.g. focused or generic reading of the subject).

320 Furthermore, Alexiadou & Anagnostopoulou (1998) suggest that the way the EPP is
 321 satisfied is parametrised. They claim that in some languages/language families (e.g. Greek
 322 and Celtic languages) the EPP can be checked by a head, namely the verbal agreement
 323 morphology which in these languages resembles clitic-like pronominal elements, so that no
 324 specifier has to be created.

325

326 The central question now is which subject positions are realised in impersonal constructions
 327 and how, and especially how the EPP is satisfied..

328

329

330 **3. Not everything that looks like an impersonal construction really is an impersonal**
 331 **construction**

332

333 The simplest case is the case in which impersonal constructions turn out not to be impersonal
 334 at all but to feature just a non-prototypical type of subject. This is the case in Icelandic and
 335 probably also in Old English.

336 The passive and psych-verb constructions in (15) and (16), do not have a referential
 337 agentive Nominative subject DP but a prominent, non-Nominative (often Dative) Experiencer
 338 argument and, if transitive, a Nominative Theme argument. Zaenen, Maling & Thráinsson
 339 (1985) wonder whether such constructions as in (15a,b), really constitute impersonal
 340 constructions with a fronted/topicalised Experiencer DP or whether they are personal
 341 constructions after all – personal constructions with a real, though non-Nominative subject.

342

343 (15) a. Þeim var hjálpað. Icelandic

344 them-Dat was helped

345 They were helped.

346

347 b. Henni hefur alltaf þótt Ólafur leiðinlegur.

348 her-Dat has always thought Olaf-Nom boring-Nom

349 She has always considered Olaf boring.

350

351 Zaenen, Maling & Thráinsson (1985:448-455) provide seven tests to determine subjecthood,

352 among them

353 (i) Raising – only subjects can raise.

354 (ii) Reflexivisation – only grammatical subjects can be the antecedents of reflexive
355 pronouns (cf. McCloskey (iia)).

356 (iii) Topicalisation and subject-verb inversion. If a subject cannot appear in sentence-
357 initial position because some other constituent has been topicalised, the subject has
358 to immediately follow the finite verb, i.e. no object must intervene between the
359 finite verb and the postverbal subject. [Note that this test works for V2-languages
360 only.]

361 [...]

362 (v) Indefinite Subject Postposing. TECs are possible with indefinite subjects but the
363 indefinite subject has to immediately follow the finite verb, i.e. no object must
364 intervene between the finite verb and the subject.

365 (vi) Subject Ellipsis – the subject of a coordinated clause can be deleted under identity
366 with the subject of the preceding conjunct clause.

367 They show that the Experiencer argument in the above constructions behaves exactly like a
 368 ‘normal’ Nominative subject in all the tests and thus they conclude that in Icelandic (i) these
 369 Experiencer arguments are real, grammatical subjects and (ii) these constructions are no
 370 impersonal constructions.

371 Thus, if we translate Zaenen, Maling & Thráinsson’s LFG-analysis of these constructions
 372 into the framework outlined in section 2, we will have to say that the Experiencer DP is
 373 merged in SpecvP (cf. Hrafnbjargarson 2004). One question, however, remains, namely
 374 whether the Experiencer DP also passes through SpecTP – after all, SpecTP is usually
 375 associated with Nominative Case assignment – on its way up to SpecFinP (Icelandic being a
 376 V2-language) or whether the EPP is checked by the verbal agreement morphology in
 377 Icelandic as suggested for other languages by Alexiadou & Anagnostopoulou (1998), cf. also
 378 Mohr (2005).

379

380 Concerning Old English, Allen (1986) showed that subject ellipsis in the second conjunct of
 381 coordinated construction is possible even if we only have a Dative Experiencer in the first
 382 conjunct, as is illustrated in (16).

383

384 (16) ac Gode ne licode na heora geleafleasta... ac asende him to fyr of
 385 but God-Dat not liked not their faithlessness-Nom... but sent them to fire of
 386 heofonum Old English
 387 heaven

388 But their faithlessness did not please God, but (he) sent them fire from heaven. OR:

389 But God didn’t like their faithlessness, but sent them fire from heaven.

390

391 As usually coordinated subjects can only be deleted under identity (see also Zaenen, Maling
 392 & Thráinsson's test number (vi)), data like (16) come unexpectedly and Allen concludes that
 393 in Old English, too, these Dative Experiencers were real, grammatical subjects despite their
 394 Case.

395

396

397 **4. Impersonal constructions**

398

399 However, if we turn to the German constructions in (17), which superficially look exactly
 400 like the Icelandic constructions discussed above, and try to apply Zaenen, Maling &
 401 Thráinsson's tests for subjecthood we will notice that none of the tests works for Experiencer
 402 arguments in German (leaving aside the fact that some of the test cannot be applied to
 403 German at all simply because German does not allow for the testing frame in the first place).
 404 Therefore, we have to conclude that in German the Experiencer argument isn't a subject and
 405 that we have to do with truly impersonal constructions.

406

407 (17) a. Ihnen wurde geholfen. German

408 them-Dat was helped

409 They were helped.

410

411 b. Mir ist kalt.

412 me-Dat is cold

413 I feel cold.

414

415 c. Mich friert.
 416 me-Acc freezes
 417 I feel cold.

418

419 Nevertheless, these constructions have usually not been analysed as being completely
 420 subjectless – see, e.g. among many others, Cardinaletti (1990) and Vikner (1995). Instead, it
 421 has been assumed that they contain a non-overt expletive *pro* which serves as a subject and
 422 occupies SpecIP.

423 Interestingly, however, impersonal psych-verbs in German allow for an alternative
 424 construction with a cliticised *es* ‘it’, as illustrated in (18).

425

426 (18) a. Mir ist’s kalt. German
 427 me-Dat is ’t cold
 428 I feel cold.

429

430 b. Mich friert ’s.
 431 me-Acc freezes’t
 432 I feel cold.

433

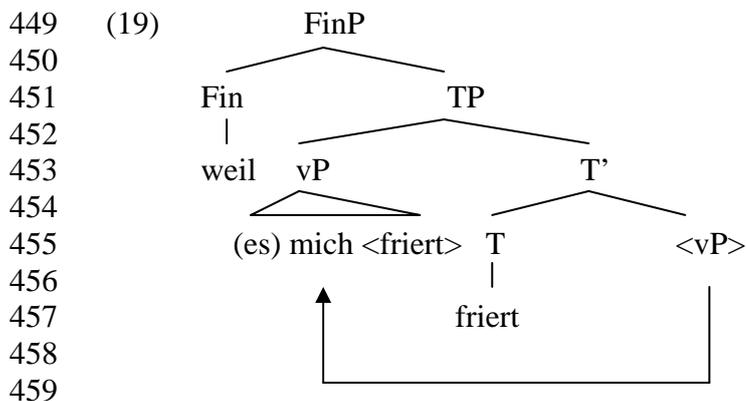
434 c. ... weil ’s mich friert.
 435 ... because’t me-Acc freezes
 436 ... because I feel cold.

437

438 As this *es* can also show up in non-sentence-initial position (18a,b) and in embedded clauses
 439 (18c), Mohr (2005) argues that the construction with *es* represents a different

440 subcategorisation frame of the respective psych verb and that the *es* here is a quasi-argument
 441 similar to the quasi-argument found with weather verbs. This means that in the absence of a
 442 real subject, *es* is merged in SpecvP. Mohr (2005) further argues that in both construction
 443 types it is the vP that moves to SpecTP and thus makes sure that the EPP is satisfied. Since
 444 German allows for the vP to move to SpecTP and satisfy the EPP it does not matter that
 445 impersonal psych verb constructions do not feature a subject and furthermore, it is not
 446 necessary to postulate the presence of a non-overt expletive *pro* in cases where *es* is not
 447 present.

448



460

461 With respect to impersonal passives in German, similar derivations have been proposed. As is
 462 illustrated in (20), *es* shows up only in sentence-initial position of declarative clauses and is
 463 ungrammatical in all other clause-types.

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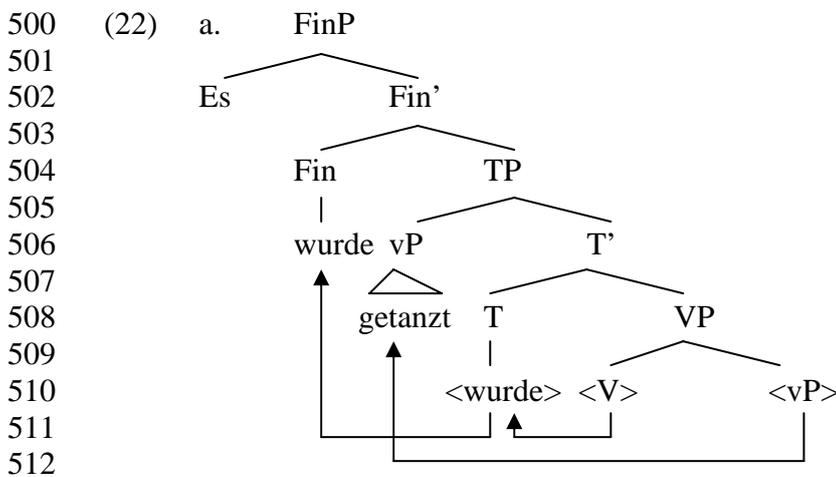
- 465 (20) a. Es wurde getanzt. German
- 466 it was danced
- 467 There was dancing.

468

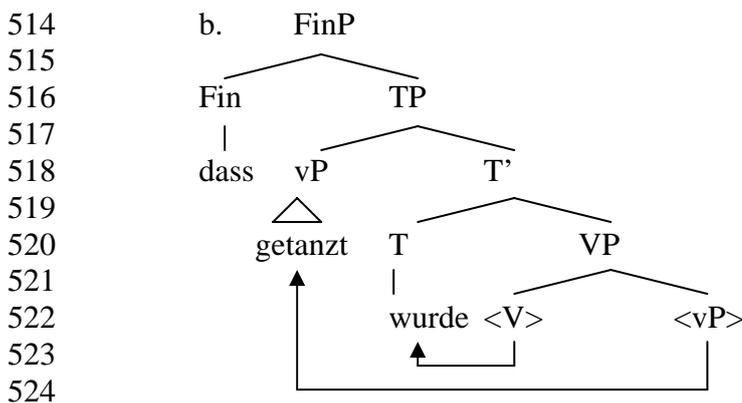
493 Cabredo Hofherr 2000⁷) which is ‘promoted to subject’ and consequently moves to
 494 SpecIP/SpecTP and checks the EPP.

495 Mohr (2005), on the other hand, suggests that an expletive really only ever shows up
 496 when it is overtly present, as in (20a). Mohr argues that the EPP is always checked by the vP
 497 moving to SpecTP in impersonal passives in German and that therefore an expletive is only
 498 needed if no other element can fulfil the V2-requirement in the C-domain.

499



513



525

526 When we turn to impersonal passives in MSc we will see that the distribution of the expletive
 527 element is completely different from that in German impersonal passives – more precisely,
 528 the expletive element shows up in all clause types (23).

529

530 (23) a. Det ble danset. Norwegian

531 it was danced

532 There was dancing.

533

534 b ... at det ble danset.

535 ... that it was danced

536 ... that there was dancing.

537

538 c. I går ble det danset.

539 yesterday was it danced

540 Yesterday, there was dancing.

541

542 Whereas Roberts & Roussou (2002) and Roberts (2005), for example, try to explain the
 543 differences in the distribution of the expletive elements in the various languages by means of
 544 a parameter which requires different specifier positions to be phonologically realised⁸, Mohr
 545 (2005) puts the differences down to different types of ‘expletives’ involved. In other words,
 546 Mohr proposes that *es* in German impersonal passives is a true expletive which is inserted as
 547 a last resort device, while the ‘expletive’ in MSc impersonal passives is not an expletive
 548 element at all – contrary to what has commonly been assumed – but a quasi-argument which
 549 is base-generated in SpecvP and moves to/via SpecTP like a normal subject.

550

551 Insofar MSc impersonal passives resemble weather verb constructions in all Germanic
 552 languages except Icelandic, as is illustrated in (24-26).

553

| | | | | |
|-----|------|----|------------------------------|-----------|
| 554 | (24) | a. | Es regnet. | German |
| 555 | | | it rains | |
| 556 | | | It is raining. | |
| 557 | | | | |
| 558 | | b. | ... weil es regnet. | |
| 559 | | | ... because it rains | |
| 560 | | | ... because it's raining. | |
| 561 | | | | |
| 562 | | c. | Gestern hat es geregnet. | |
| 563 | | | yesterday has it rained | |
| 564 | | | Yesterday, it rained. | |
| 565 | | | | |
| 566 | (25) | a. | Det har regnet. | Norwegian |
| 567 | | | It has rained. | |
| 568 | | | | |
| 569 | | b. | ... at det har regnet i dag | |
| 570 | | | ... that it has rained today | |
| 571 | | | | |
| 572 | | c. | I dag har det regnet. | |
| 573 | | | today has it rained | |
| 574 | | | Today it has rained. | |
| 575 | | | | |
| 576 | (26) | a. | Það rigndi (í gær). | Icelandic |
| 577 | | | it rained (yesterday) | |
| 578 | | | | |

579 b. Hann sagði, að það hafi rígt í gær.
 580 he said that it has-subjunc rained yesterday
 581 He said that it rained yesterday.

582

583 c. Í gær rignði (*það).
 584 yesterday rained (*it)
 585 Yesterday, it rained.

586

587 d. Rignði (*það) í gær?
 588 rained (*it) yesterday
 589 Did it rain yesterday?

590

591 For weather verb constructions it has generally been assumed that they feature a quasi-
 592 argument which is base-generated in SpecvP and moves to/through SpecTP.⁹ This
 593 explanation, however, does not cover the Icelandic data. Here, Mohr (2005) proposes that
 594 Icelandic does not have a quasi-argument with weather verb constructions but a true
 595 expletive.¹⁰ The fact that the expletive also shows up in embedded clauses, as in (26b) can be
 596 put down to the fact that Icelandic has generalised V2, i.e. also embedded clauses are subject
 597 to the V2 requirement and the complementiser is not merged in Fin° but in Force°. In
 598 addition, it has to be assumed that in Icelandic the EPP is satisfied by merging the verbal
 599 agreement morphology in T° so that SpecTP does not have to be created, following Alexiadou &
 600 Anagnostopoulou (1998), Roberts & Roussou (2002) and Roberts (2005).

601

602

603 **5. Types of ‘expletive’ elements and TECs**

604

605 A number of linguists, among them Chomsky (1995), Bobaljik & Jonas (1996), Koster &
 606 Zwart (2000) and Fischer (2010) explain the (non-)availability of TECs with the number of
 607 specifier positions available in the Split-IP of the respective language. The availability of
 608 both SpecAgrSP and SpecTP depends on several, often related factors – the strength of the
 609 features associated with the respective heads, the possibility of verb movement, whether the
 610 language in question allows for object shift, etc. These approaches, however, are often highly
 611 technical and therefore tend to become quickly obsolete, as e.g. Bobaljik & Jonas’s analysis
 612 which relies on the notion of equidistance.

613 The distinction between true expletive elements and quasi-arguments proposed by Mohr
 614 (2005), on the other hand, also helps to account for the (un-)availability of TECs in the
 615 different languages. While German has TECs, MSc does not allow for these constructions, as
 616 is illustrated in (27) and (28).

617

618 (27) Es kommt der König über die Hügel geritten. German

619 it comes the king over the hills ridden

620 The king comes riding over the hills.

621

622 (28) *Det har någon ätit ett äpple. Swedish

623 it has someone eaten an apple (Bobaljik & Jonas 1996:(15d))

624 Someone has eaten an apple.

625

626 As German *es* is a true expletive pronoun inserted directly in SpecFinP as a last resort
 627 operation, the rest of the clause is unaffected by this operation which means that the verb can

628 select two arguments (as required if the verb is transitive) and all of the potential subject
 629 positions of the Split-IP are available as landing sites for the subject – including
 630 SpecArgSP/SpecRefP, the target of definite subjects. Therefore, German does not only allow
 631 for TECs but also does not display a Definiteness Effect (DE) with respect to the subject DP.
 632 For the MSc languages, on the other hand, it has been suggested that *det* is a quasi-argument
 633 merged in SpecvP. Thus this quasi-argument and the external argument of transitive verbs
 634 compete for one and the same position, SpecvP, and this explains why we do not get TECs in
 635 MSc.

636

637 With respect to Icelandic, it has been suggested that *það* is a true expletive as well and so we
 638 would expect, first, that TECs are possible in Icelandic and, second, that they do not display a
 639 DE either. This prediction, however, is only partly borne out because in Icelandic we do get a
 640 DE.

641

642 (29) *Það hafa margir jólasveinar borðað búaðing.* Icelandic
 643 it have many X-mas trolls eaten pudding (Bobaljik & Jonas 1996:(16a))
 644 Many Christmas trolls have eaten pudding.

645

646 The DE can probably be put down to an independent constraint of Icelandic which requires
 647 definite subjects to always show up in sentence-initial position. This postulation seems
 648 plausible as Icelandic also strongly disfavours, say, topicalisation of an object in the presence
 649 of a definite subject.

650

651 The fact that some ‘expletive’ elements are of pronominal origin (e.g. German *es*, Swedish/
 652 Norwegian *det*, Icelandic *það*) and some of locative origin (e.g. Danish *der*, English *there*)

653 does not seem to affect the type and the distribution of the respective element. So the
 654 ‘expletive’ elements of MSc languages pattern alike although the Danish one is of locative
 655 origin and the Swedish/Norwegian ones are of pronominal origin. On the other hand, the
 656 ‘pronominal expletives’ of German/Icelandic and Swedish/Norwegian fall into two
 657 completely different groups, namely true expletives and quasi-arguments, respectively.

658 There exists, however, yet another type of ‘expletive’ element: Location-goal arguments¹¹
 659 are typically historically derived from locatives, such as Dutch *er*. Mohr (2005) proposes that
 660 such location-goal arguments carry a [+specific]-feature and therefore have to pass through
 661 the higher subject position of the Split-IP, SpecAgrSP/SpecRefP. This analysis accounts for
 662 why TECs are possible in Dutch but display a DE with respect to the subject (30) and why *er*
 663 can also show up in non-sentence-initial position in impersonal passives (31).¹²

664

665 (30) a. **Er* heeft zo-even de kanselier het toneel betreden. Dutch
 666 there has just the chancellor the platform entered
 667 The chancellor has just mounted the platform.

668

669 b. *Er* heeft zo-even een Amerikaan het toneel betreden.
 670 there has just an American the platform entered
 671 An American has just mounted the platform.

672

673 (31) a. *Er* wordt gedanst.
 674 there is danced
 675 There is dancing.

676

677 b. ... dat (er) wordt gedanst.

678 ... that (there) is danced.

679 ... that there is dancing.

680

681 c. Op het schip wordt (er) gedanst.

682 on the ship is (there) danced

683 On the ship, there is dancing.

684

685 When *er* is present in impersonal passives as in (31b,c) it realises SpecAgrSP/SpecRefP, a
 686 position not realised at all in German impersonal passives. In TECs *er* blocks definite
 687 subjects from moving to SpecAgrSP/SpecRefP – thus leading to a DE – because *er* is merged
 688 in this position before moving to SpecFinP.

689

690

691 **6. Conclusion**

692

693 To sum up, one can say that all analyses of impersonal constructions involve some kind of
 694 alternative strategy of satisfying the EPP. Some approaches suggest that the lack of a real
 695 subject is amended by the presence of a semantically and sometimes even phonetically empty
 696 element, so that the EPP can be checked by this element in exactly the same way as a subject
 697 would do. Other approaches suggest alternative ways of checking the EPP, either by merge or
 698 move of a head, or by movement of other XPs, e.g. vP, to SpecTP.

699 Last but not least, it has to be pointed out that although the discussion of impersonal
 700 constructions has been restricted to the Germanic languages here, other languages and
 701 language families also have such constructions and that they even extend the range of devices

702 used. In the Romance languages, e.g. impersonal constructions typically involve reflexive
703 *se/si*, as is illustrated in (32).

704

705 (32) a. In Italia *si* mangiano gli spaghetti. Italian

706 in Italy Refl eat-3pl the-pl spaghetti-pl (D'Alessandro 2007)

707 In Italy they eat spaghetti.

708

709 b. Aquí *se* trabajó. Spanish

710 here Refl worked (Cabredo Hofherr, forthcoming: (3b))

711 Here, working has been done.

712

713 A discussion of the mechanisms at work here is, however, beyond the scope of this article.

714

715

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¹ Abbreviations used in the glosses and syntactic trees:

Acc = Accusative, Dat = Dative, Fin = finiteness, Foc = focus, Nom = Nominative, Obj = object, pl = plural, sg = singular, Subj = subject, subjunc = subjunctive, Top = topic

² This example is taken from Cardinaletti (1990: (40b)) who classifies it as ungrammatical (according to her, the subject DP has to be indefinite) – contrary to fact.

³ X.X. has to be replaced with the initials of the author.

⁴ Therefore people postulated the presence of a non-overt subject pronoun *pro* in SpecIP in null-subject languages.

⁵ Equally common is the version without the object agreement phrase AgrOP.

In addition, nowadays the VP is usually dominated by a vP, and it is actually SpecvP which serves as the base position of the subject, whereas SpecVP is the merging site of indirect objects.

⁶ It is not quite clear whether RefP/AgrSP actually represent individual categories or whether it is just one category which has been labelled differently (as TP/IP) according to the properties identified by different researchers who looked at subject positions from very different angles.

⁷ For reasons internal to her analysis Cabredo Hofherr, however, does not assume that the null cognate object moves to SpecIP.

⁸ More precisely, Roberts & Roussou (2002) and Roberts (2005) propose that heads are parametrised with respect to a diacritic which requires phonological realisation. Phonological realisation of a head can be achieved by Merge or by Move where realisation by Move requires subsequent realisation of the corresponding specifier.

⁹ Note that German *es* can be both a true expletive and a quasi-argument in this approach. The expletive and the quasi-argument just happen to be homophonous.

¹⁰ According to Gunnar Hrafn Hrafnbjargarson (p.c.) many people consider (6c,d) with *það* grammatical. In that case *það* must be a quasi-argument, as in the other Germanic languages.

Hence Icelandic has two different grammars concerning weather verbs. The question of whether the expletive is undergoing a reanalysis as a quasi-argument requires further research.

¹¹ This term was coined by Cardinaletti (2004), though used slightly differently.

¹² Why *er* is optional in these positions cannot be discussed here for reasons of space.